PTO/SB/21 (6-98) Approved for use through 09/30/2000. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/655,743 Application Number 09/06/00 **TRANSMITTAL** Filing Date Howe, Alice H. First Named Inventor **FORM** (to be used for all correspondence after initial filing) Group Art Unit 3711 **Examiner Name** MPH-99-46 Attorney Docket Number Total Number of Pages in This Submission 104 ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers to Group (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Licensing-related Papers Amendment / Response Petition Routing Slip (PTO/SB/69) Proprietary Information and Accompanying Petition After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Additional Enclosure(s) Power of Attorney, Revocation (please identify below): Change of Correspondence Address Extension of Time Request Terminal Disclaimer Express Abandonment Request Small Entity Statement TC XX Information Disclosure Statemen Request for Refund Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm M. Paul Hendrickson, Attorney for Applicant Individual name Signature n. Pal Here

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date

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December 20, 2000

Date

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Date

December 21, 2000



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

374

TC 3700 MAIL ROOM

In re Application of:

TENNIS RACQUET EQUIPPED WITH A TENNIS BALL RETRIEVER	) Art Unit: 3711
Alice H. Howe	) Serial No.: 09/655,743 ) ) Docket No.: MPH 99-46
Filed: 09/06/00	)

## INFORMATION DISCLOSURE STATEMENT (IDS)

Assistant Commissioner for Patents
- Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:

Check one of the boxes A-D

- [ ] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
  - [X] B. before the mailing date of a first office action on the merits.
- [ ] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below or paid the necessary fee (box "ii" below).

Check one of the boxes "i" and "ii" below:

[ ] i. Counsel certifies that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the



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filing of this IDS or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

Please charge the fee set forth in 1.17 (p), presently believed to be \$200, to Deposit Account ii. []

after (A), (B), and (C) above, but before payment of the issue fee. Applicant petitions under 37 C.F.R. 1.97(d) for consideration of this IDS. Please charge the fee set forth in 1.17 (i) (1), presently believed to be \$130, to Deposit . Counsel certifies that, upon information and belief, each item of information listed herein was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

In accordance with 37 C.F.R. 1.98, this IDS includes a list of all patent, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Copies of the documents are attached, except as explained below.

(check boxes A and/or B and fill in blanks, if appropriate.)

check boxes A and/or B and fill in bianks, it appropriately	
[ ] A. Documents	are deemed , and, in accordance
***************************************	I become submitte

Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. Filing Date 120:

Serial Number

No preliminary patentability search was conducted upon the invention described and claimed in this application.

Applicants identify these documents by attaching hereto copies of the form PTO-892s and PTO-1449s from the files of the prior applications or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 C.F.R. 1.98(d), copies of these documents need not be filed in this application.

O17 5 3		•	
DEC 2 8 2000 E		3. Documents are not in Er	nglish
		ice with 1.98(c), Applicants state:	
E TRADEMENTA		English translation of documents Free, 9-5710 8 (or or pertinent portions thereof), or copies of corresponding language applications, are enclosed.	f the English-
	[]	A concise explanation of the relevance of documents is found in the attached search re Patent Office (see reply to Comment preamble to the final rules; 1135 OG 13 at 20).	port from the .68 in the
	[]	A concise explanation of the relevance of documents is set forth in an Attachment.	
	5. should not be const information cited is	No explanation of relevance is necessary for docume ee reply to Comments 67 and 68 in the preamble to the In accordance with 37 C.F.R. 1.97(g) and (h), the fil trued as a representation that a search has been made or s, or is considered to be, material to patentability as deficited document listed or attached is (or constitutes) prid, the date of publication indicated for an item is taken cant reserves the right to prove that the date of publicat Respectfully submitted.	that ined in Section ior art. Unless from the face of ion is in fact
		M. Paul Hendrickso Attorney for Applic Reg. No. 24,523	on cant
	403 Main Street Post Office Box Holmen, WI 546	508 (608) 526-4422 536-0508 (608) 526-2711 (fa	х)